

22713 U.S. PTO
041504

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. 1.53(b))	Attorney Docket No.	P-097-US2
	First Inventor	Jason P. CHINN
	Title	SODIUM CHANNEL MODULATORS
	Express Mail Label No.	EV 312852560 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 115] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3] 5. Oath or Declaration [Total Sheets 5] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	ACCOMPANYING APPLICATIONS PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Application Cover Sheet

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 943,420
Prior application information: Examiner Deepak R. RAO Art Unit: 1624

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
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Signature	<i>Joyce Cohen</i>	Date	April 15, 2004

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The PTO did not receive the following listed item(s) Specification Page # 6

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2004</h2> <p style="margin: 5px 0 0 20px;"><i>Effective 10/01/2003. Patent fees are subject to annual revision.</i></p>		Complete If Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	Not yet assigned
TOTAL AMOUNT OF PAYMENT (\$) 430		Filing Date	Even Date Herewith
		First Named Inventor	Jason P. CHINN
		Examiner Name	Not yet assigned
		Art Unit	Not yet assigned
		Attorney Docket No.	P-097-US2

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																				
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None Order <input checked="" type="checkbox"/> Deposit Account: <div style="margin-top: 10px;"> Deposit Account Number: 50-0344 Deposit Account Name: Theravance, Inc. </div> <p>The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.</p>	<h3>3. ADDITIONAL FEES</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>2051</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>2052</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>1053</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>1812</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>1804</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1805</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>2251</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>2252</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>2253</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>2254</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2255</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>2401</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>2402</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>2403</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1451</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>2452</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>2453</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>2501</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>2502</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>2503</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>1460</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>1807</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>1806</td><td>1806</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>8021</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>2809</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>2810</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>2801</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>1802</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0</p>	Large Entity	Small Entity	Fee Description	Fee Paid	1051	2051	Surcharge - late filing fee or oath		1052	2052	Surcharge - late provisional filing fee or cover sheet		1053	1053	Non-English specification		1812	1812	For filing a request for reexamination		1804	1804	Requesting publication of SIR prior to Examiner action		1805	1805	Requesting publication of SIR after Examiner action		1251	2251	Extension for reply within first month		1252	2252	Extension for reply within second month		1253	2253	Extension for reply within third month		1254	2254	Extension for reply within fourth month		1255	2255	Extension for reply within fifth month		1401	2401	Notice of Appeal		1402	2402	Filing a brief in support of an appeal		1403	2403	Request for oral hearing		1451	1451	Petition to institute a public use proceeding		1452	2452	Petition to revive - unavoidable		1453	2453	Petition to revive - unintentional		1501	2501	Utility issue fee (or reissue)		1502	2502	Design issue fee		1503	2503	Plant issue fee		1460	1460	Petitions to the Commissioner		1807	1807	Processing fee under 37 CFR 1.17 (q)		1806	1806	Submission of Information Disclosure Stmt		8021	8021	Recording each patent assignment per property (times number of properties)		1809	2809	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	2810	For each additional invention to be examined (37 CFR § 1.129(b))		1801	2801	Request for Continued Examination (RCE)		1802	1802	Request for expedited examination of a design application	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Joyce G. Cohan	Registration No. (Attorney/Agent)	44,622
Signature	<i>Joyce G. Cohan</i>	Telephone	(650) 808-6000
		Date	April 15 2004

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